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Date of Deposit: September 12, 2003

Attorney Docket No. 26571-501



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**APPLICANT:** Warren LIN

**SERIAL NUMBER:** 10/608,246

**EXAMINER:** Not Yet Assigned

**FILING DATE:** June 27, 2003

**ART UNIT:** Not Yet Assigned

**FOR:** VENTED SLOT BRAKE ROTOR

September 12, 2003

New York, New York

**Mail Stop IDS**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant hereby make of record the documents listed on the enclosed modified Form PTO-1449 (submitted in duplicate) in the above-identified application. The order of presentation of the references should not be construed as an indication of the importance of the references.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits in the above-identified case. Accordingly, no fee or certification is required. 37 C.F.R. §1.97.

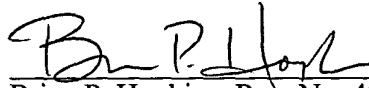
A copy of each of the references is enclosed unless otherwise indicated on the attached Form PTO-1449 (modified). It is respectfully requested that the Examiner consider the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and signs the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

APPLICANT: Warren Lin  
ATTORNEY 26571-501  
DOCKET NO.

By submitting this Information Disclosure Statement, the Applicant makes no representation that: (1) more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested. Please charge any fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 26571-501, Customer No. 35437.

Respectfully submitted,



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Dated: September 12, 2003



Modified Form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**
(use as many sheets as necessary  
1 of 1)

Application Number	10/608.246
Filing Date	June 27, 2003
First Named Inventor	Warren Lin
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	26571-501

**U.S. PATENT DOCUMENTS**

Exam Initials	Cite No.	U.S. Patent Document No.	Issue Date	Name of Patentee(s) or Applicant(s)	Class	Sub Class	Filing Date If Appropriate
	A1	3,002,595	10/03,1961	T.J. Weir	192	58	
	A2	3,809,192	05/07/1974	Stehle	188	218 XL	
	A3	6,308,808	10/30/2001	Krenkel et al.	188	218 XL	
	A4	6,334,515	01/01/2002	Martin	188	218 XL	
	A5	6,347,691	02/19/2002	Aydt	188	218 XL	
	A6	6,386,341	05/14/2002	Martin	188	218 XL	
	A7	6,422,358	07/23/2002	Deibel	188	218 XL	
	A8	6,467,590	10/22/2002	Aydt	188	218 XL	
	A9	2001/0040077	11/15/2001	Qian et al.	188	218 XL	
	A10	2002/0027049	03/07/2002	Aydt	188	218 XL	
	A11	2002/0153213	10/24/2002	Gruber et al.	188	218 XL	
	A12	2003/0034213	02/20/2003	Qian et al.	188	218 XL	

**FOREIGN PATENT DOCUMENTS**

Exam Initials	Cite No.	Foreign Patent Document Office Number	Name of Patentee(s) or Applicant(s)	Date of Publication	Translation Yes No

**OTHER NON PATENT LITERATURE DOCUMENTS**

Exam Initials	Cite No.	Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.

\* a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, U.S.S.N. \_\_\_\_\_, filed \_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. §120 (continuation, continuation-in-part, and divisional applications).

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.

09-15-05



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**TRANSMITTAL LETTER**

Transmitted herewith for filing in the present application are the following documents:

1. Information Disclosure Statement (2 pages), in duplicate;
2. Modified Form 1449/PTO (1 page), in duplicate;
3. Copies of Cited References A1-A12 , and
4. Return Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at 212/692-6803, New York, New York.  
A duplicate copy of this transmittal letter is enclosed.

The Commissioner is authorized to charge any fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311 Ref. No. 26571-501, Customer No. 35437. A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted,

Brian P. Hopkins, Reg. No. 42,669  
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